



Att. 0800-0026  
USSN: 09/932,451  
PATENT

2 chng  
for 87  
0

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 27-NOVEMBER-2001

11/27/01  
Date

[Signature]  
Signature

COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

OZAWA et al.

Confirmation No.: 3418

Serial No.: 09/932,451

Group Art Unit: Unassigned

Filing Date: August 17, 2001

Examiner: Unassigned

Title: ADENO-ASSOCIATED VIRUS-MEDIATED DELIVERY OF  
ANGIOGENIC VECTORS

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts dated September 28, 2001.

Transmitted herewith for filing are the following documents:

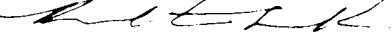
- 1) a copy of the Notice to File Missing Parts;
- 2) a Combined Declaration and Power of Attorney for Utility Patent Application;
- 3) a Sequence Listing in paper copy and computer-readable form;
- 4) a Declaration Under 37 CFR §1.825;
- 5) a Blanket Petition for Extension of Time and Authorization to Charge or Credit

Deposit Account: and

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 11/27/01

By: 

Roberta L. Robins

Registration No. 33,208

ROBINS & PASTERNAK LLP  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
Fax: (650) 325-7823



UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 16 2002



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20590  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	APPLICANT NAME	ATTORNEY REFERENCE NUMBER
09/932,451	08/17/2001	Keiya Ozawa	0800-0026

CONFIRMATION NO. 3418

FORMALITIES LETTER



\*OC000000006807934\*

ROBINS & PASTERNAK LLP  
Suite 200  
90 Middlefield Road  
Menlo Park, CA 94025

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$440.
  - \$360 for 20 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1280.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.822 and 1.823.

740.00 OF  
360.00 OF  
84.00 OF  
130.00 OF

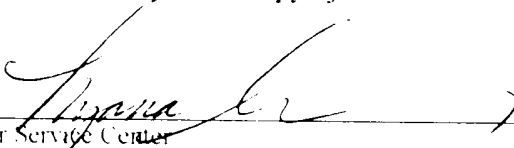
For questions regarding compliance with these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

01/13/02  
01/13/02  
01/13/02

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE